



Annexure – B

Stock Broker Investor Complaints Data

Data for August-2026

S N	Received from	Carried forward from previous Month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORES 2.0)	0	0	0	0	0	0	7-10 Days
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	Grand Total	0	0	0	0	0		NA

Trend of monthly disposal of complaints

SN	Month	Carried forward From previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2021	0	0	0	0

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2	May-2021	0	0	0	0
3	June-2021	0	0	0	0
4	July-2021	0	0	0	0
5	August-2021	0	0	0	0
6	September-2021	0	0	0	0
7	October-2021	0	0	0	0
8	November-2021	0	0	0	0
9	December-2021	0	0	0	0
10	January-2022	0	0	0	0
11	February-2022	0	0	0	0
12	March-2022	0	0	0	0
13	April-2022	0	0	0	0
14	May-2022	0	0	0	0
15	June-2022	0	0	0	0
16	July-2022	0	0	0	0
17	August-2022	0	0	0	0
18	September-2022	0	0	0	0
19	October-2022	0	0	0	0
20	November-2022	0	0	0	0
21	December-2022	0	0	0	0
22	January-2023	0	0	0	0
23	February-2023	0	0	0	0
24	March-2023	0	0	0	0
25	April-2023	0	0	0	0
26	May-2023	0	0	0	0
27	June-2023	0	0	0	0
28	July-2023	0	0	0	0
29	August-2023	0	0	0	0
30	September-2023	0	0	0	0
31	October-2023	0	0	0	0
32	November-2023	0	0	0	0
33	December-2023	0	0	0	0
34	January-2024	0	0	0	0
35	February-2024	0	0	0	0
36	March-2024	0	0	0	0
37	April-2024	0	0	0	0
38	May-2024	0	0	0	0
39	June-2024	0	0	0	0

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40	July-2024	0	1	1	0
41	August-2024	0	0	0	0
42	September-2024	0	0	0	0
43	October-2024	0	0	0	0
44	November-2024	0	0	0	0
45	December-2024	0	0	0	0
46	January-2025	0	0	0	0
47	February-2025	0	0	0	0
48	March-2025	0	0	0	0
49	April-2025	0	0	0	0
50	May-2025	0	0	0	0
51	June-2025	0	0	0	0
52	July-2025	0	0	0	0
53	August-2025	0	0	0	0
54	September-2025	0	0	0	0
55	October-2025	0	0	0	0
56	November-2025	0	0	0	0
57	December-2025	0	0	0	0
58	January-2026	0	0	0	0
59	February-2026	0	0	0	0
60	March-2026	0	0	0	0
61	April-2026	0	1	0	1
62	May-2026	1	2	1	2
63	June-2026	2	0	2	0
64	July-2026	0	0	0	0
65	Aug-2026	0	0	0	0
	Grand Total		4	4	

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	1	1	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-25	0	1	1	0
9	2025-26	0	0	0	0
10	2026-27	0	3	3	0
	Grand Total	0	5	5	0