

NAVKAR SHARE & STOCK BROKERS PVT. LTD

Annexure - B

Stock Broker Investor Complaints Data

Data for March-2024

| S N | Received from | Carried forward from previous Month | Received during the month | Total Pending | Resolved | Pending at the end of the month** | | Average Resolution time^(in days) |
|--------|-------------------------------|---|------------------------------------|------------------|----------|---|---|--|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 7 | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | NA |
| 2 | SEBI SCORES | 0 | 0 | 0 | 0 | 0 | | NA |
| 3 | Stock Exchang es | 0 | 0 | 0 | 0 | 0 | | NA |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | NA |
| 5 | Grand Total | 0 | 0 | 0 | 0 | |) | NA |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previou smonth | Received | Resolved* | Pending** |
|----|-------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April -2021 | 0 | 0 | 0 | 0 |



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| 2 | May-2021 | 0 | 0 | 0 | 0 |
|----|----------------|---|---|---|---|
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July-2021 | 0 | 0 | 0 | 0 |
| 5 | August-2021 | 0 | 0 | 0 | 0 |
| 6 | September-2021 | 0 | 0 | 0 | 0 |
| 7 | October-2021 | 0 | 0 | 0 | 0 |
| 8 | November-2021 | 0 | 0 | 0 | 0 |
| 9 | December-2021 | 0 | 0 | 0 | 0 |
| 10 | January-2022 | 0 | 0 | 0 | 0 |
| 11 | February-2022 | 0 | 0 | 0 | 0 |
| 12 | March-2022 | 0 | 0 | 0 | 0 |
| 13 | April-2022 | 0 | 0 | 0 | 0 |
| 14 | May-2022 | 0 | 0 | 0 | 0 |
| 15 | June-2022 | 0 | 0 | 0 | 0 |
| 16 | July-2022 | 0 | 0 | 0 | 0 |
| 17 | August-2022 | 0 | 0 | 0 | 0 |
| 18 | September-2022 | 0 | 0 | 0 | 0 |
| 19 | October-2022 | 0 | 0 | 0 | 0 |
| 20 | November-2022 | 0 | 0 | 0 | 0 |
| 21 | December-2022 | 0 | 0 | 0 | 0 |
| 22 | January-2023 | 0 | 0 | 0 | 0 |
| 23 | February-2023 | 0 | 0 | 0 | 0 |
| 24 | March-2023 | 0 | 0 | 0 | 0 |
| 25 | April-2023 | 0 | 0 | 0 | 0 |
| 26 | May-2023 | 0 | 0 | 0 | 0 |
| 27 | June-2023 | 0 | 0 | 0 | 0 |
| 28 | July-2023 | 0 | 0 | 0 | 0 |
| 29 | August-2023 | 0 | 0 | 0 | 0 |
| 30 | September-2023 | 0 | 0 | 0 | 0 |
| 31 | October-2023 | 0 | 0 | 0 | 0 |
| 32 | November-2023 | 0 | 0 | 0 | 0 |
| 33 | December-2023 | 0 | 0 | 0 | 0 |
| 34 | January-2024 | 0 | 0 | 0 | 0 |
| 35 | February-2024 | 0 | 0 | 0 | 0 |
| 36 | March-2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^{*}Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each



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complaint in thecurrent month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward | Received | Resolved | Pending at |
|----|-------------|-----------------|-----------------|------------|------------|
| | | from previous | during the year | during the | the end of |
| | | year | | year | the year |
| 1 | 2017-18 | 0 | 1 | 1 | 0 |
| 2 | 2018-19 | 0 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 0 | 0 | 0 |
| 6 | 2022-23 | 0 | 0 | 0 | 0 |
| 7 | 2023-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |